

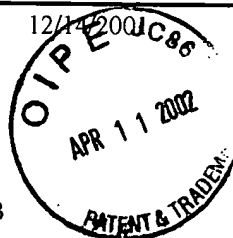
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/017,676	12/14/2001	Shahram Abdollahi-Alibeik	204.1001.02

22883
SWERNOFSKY LAW GROUP
P O BOX 390013
MOUNTAIN VIEW, CA 94039-0013



CONFIRMATION NO. 9559

FORMALITIES LETTER



OC000000007420265

Date Mailed: 02/05/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.

~~~~~  
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/15/2002 BABRAHA1 00000039 10017676

01 FC:201  
02 FC:205

370.00 OP  
65.00 OP



PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

22883

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|                                                                                     |                             |                               |             |
|-------------------------------------------------------------------------------------|-----------------------------|-------------------------------|-------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for correspondence after initial filing)<br> | <b>Application Number</b>   | 10/017,676                    |             |
|                                                                                     | <b>Filing Date</b>          | Dec 14, 2001                  |             |
|                                                                                     | <b>First Named Inventor</b> | Abdollahi-Alibeik             |             |
|                                                                                     | <b>Group Art Unit</b>       | NYA                           |             |
|                                                                                     | <b>Examiner Name</b>        | NYA                           |             |
| <b>Total Number of Pages in This Submission</b>                                     | 10                          | <b>Attorney Docket Number</b> | 204.1001.02 |

| ENCLOSURES (check all that apply)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached Credit Card Payment PTO Form-2038<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><br><input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert a Provisional Application<br><br><input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br>Other Enclosure(s) (please identify below):<br><input checked="" type="checkbox"/> Declaration by Inventors Supplemental ADS Return Postcard |
| <b>Remarks</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                      |
|--------------------------------------------|--------------------------------------|
| Firm or Individual name                    | Steven A. Swernofsky Reg. no. 33,040 |
| Signature                                  |                                      |
| Date                                       | 04/01/2002                           |

| CERTIFICATE OF MAILING                                                                                                                                                                                                      |                           |      |          |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|------|----------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 4-2-2002 |                           |      |          |
| Type or printed name                                                                                                                                                                                                        | Arlette Malhas, Paralegal |      |          |
| Signature                                                                                                                                                                                                                   |                           | Date | 4-2-2002 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

22883

PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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# FEE TRANSMITTAL

## for FY 2002

*Patent fees are subject to annual revision.*

☒ Applicant claims small entity status. See 37 CFR 1.27

|                                |                          |
|--------------------------------|--------------------------|
| <b>TOTAL AMOUNT OF PAYMENT</b> | <b>(\$)<u>435.00</u></b> |
|--------------------------------|--------------------------|

**Complete if Known**

|                      |                           |
|----------------------|---------------------------|
| Application Number   | 10/017,676                |
| Filing Date          | 12/14/2001                |
| First Named Inventor | Abdollahi-Alibeik, et al. |
| Examiner Name        | NYA                       |
| Group/Art Unit       | NYA                       |
| Attorney Docket No.  | 204.1001.02               |

**METHOD OF PAYMENT** *(check all that apply)*☐ Check    ☒ Credit card    ☐ Money Order    ☐ Other    ☐ None☒ Deposit Account::

Deposit Account Number **50-0365**

|                            |                      |
|----------------------------|----------------------|
| Deposit<br>Account<br>Name | Swernofsky Law Group |
|----------------------------|----------------------|

**The Commissioner is authorized to:** *(check all that apply)*

☐ Charge fee(s) indicated below      ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application required for this filing.

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 101          | 740      | 201          | 370      | Utility filing fee     | 370.00   |
| 106          | 330      | 206          | 165      | Design filing fee      |          |
| 107          | 510      | 207          | 255      | Plant filing fee       |          |
| 108          | 740      | 208          | 370      | Reissue filing fee     |          |
| 114          | 160      | 214          | 80       | Provisional filing fee |          |

**SUBTOTAL (1)** **(\$)**370.00

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    |   | Extra Claims |  | Fee from Below | Fee Paid |
|--------------------|---|--------------|--|----------------|----------|
| Total Claims       | 9 | -20**=       |  | X              | =        |
| Independent Claims | 1 | -3**=        |  | X              | =        |
| Multiple Dependent |   |              |  |                | =        |

| Large Entity |          | Small Entity |          | Fee Description                                           |
|--------------|----------|--------------|----------|-----------------------------------------------------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                                                           |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                    |
| 102          | 84       | 202          | 42       | Independent claims in excess of 3                         |
| 104          | 280      | 204          | 140      | Multiple dependent claim, if not paid                     |
| 109          | 84       | 209          | 42       | **Reissue independent claims over original patent         |
| 110          | 18       | 210          | 9        | **Reissue claims in excess of 20 and over original patent |

|                     |             |
|---------------------|-------------|
| <b>SUBTOTAL (2)</b> | <b>(\$)</b> |
|---------------------|-------------|

**\*\*or number previously paid, if greater; For Reissues, see below**

**FEE CALCULATION** (continued)

### 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description                                                            | Fee Paid |
|--------------|----------|--------------|----------|----------------------------------------------------------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                                                                            |          |
| 105          | 130      | 205          | 65       | Surcharge – late filing fee or oath                                        | 65.00    |
| 127          | 50       | 227          | 25       | Surcharge – late provisional filing fee or cover sheet.                    |          |
| 139          | 130      | 139          | 130      | Non-English specification                                                  |          |
| 147          | 2,520    | 147          | 2,520    | For filing a request for reexamination                                     |          |
| 112          | 920*     | 112          | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113          | 1,840*   | 113          | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115          | 110      | 215          | 55       | Extension for reply within first month                                     |          |
| 116          | 400      | 216          | 200      | Extension for reply within second month                                    |          |
| 117          | 920      | 217          | 460      | Extension for reply within third month                                     |          |
| 118          | 1,440    | 218          | 720      | Extension for reply within fourth month                                    |          |
| 128          | 1,960    | 228          | 980      | Extension for reply within fifth month                                     |          |
| 119          | 320      | 219          | 160      | Notice of Appeal                                                           |          |
| 120          | 320      | 220          | 160      | Filing a brief in support of an appeal                                     |          |
| 121          | 280      | 221          | 140      | Request for oral hearing                                                   |          |
| 138          | 1,510    | 138          | 1,510    | Petition to institute a public use proceeding                              |          |
| 140          | 110      | 240          | 55       | Petition to revive – unavoidable                                           |          |
| 141          | 1,280    | 241          | 640      | Petition to revive – unintentional                                         |          |
| 142          | 1,280    | 242          | 640      | Utility issue fee (or reissue)                                             |          |
| 143          | 460      | 243          | 230      | Design issue fee                                                           |          |
| 144          | 620      | 244          | 310      | Plant issue fee                                                            |          |
| 122          | 130      | 122          | 130      | Petitions to the Commissioner                                              |          |
| 123          | 50       | 123          | 50       | Petitions related to provisional applications                              |          |
| 126          | 180      | 126          | 180      | Submission of information Disclosure Stmt                                  |          |
| 581          | 40       | 581          | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146          | 740      | 246          | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149          | 740      | 249          | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179          | 740      | 279          | 370      | Request for Continued Examination (RCE)                                    |          |
| 169          | 900      | 169          | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify)

|                     |                   |
|---------------------|-------------------|
| <b>SUBTOTAL (3)</b> | <b>(\$)</b> 65.00 |
|---------------------|-------------------|

## SUBMITTED BY

|                   |                      |
|-------------------|----------------------|
| Name (Print/Type) | Steven A. Swernofsky |
|-------------------|----------------------|

**Signature**

|                                      |        |
|--------------------------------------|--------|
| Registration No.<br>(Attorney/Agent) | 33,040 |
|--------------------------------------|--------|

Complete (if applicable)

|           |              |
|-----------|--------------|
| Telephone | 650-947-0700 |
|-----------|--------------|

Date \_\_\_\_\_

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